

SECTOR 9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

XMB

In re application of:

M. Angelopoulos et al.

Serial No. 08/256,034

Filed: February 23, 1999



Date: April 14, 1999

Group:

Examiner:

Docket No. YO998-056

For: MULTILAYERED RESIST SYSTEMS USING TUNED POLYMER FILMS AS UNDERLAYERS AND METHODS OF FABRICATION THEREOF

Commissioner of Patents and Trademarks
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application
Declaration and Power of Attorney
Assignment with Recordation Form Cover Sheet
Deposit Account Authorization Form
Preliminary Amendment
Acknowledgment Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Commissioner of Patents and Trademarks
Washington, DC 20231**

on April 14, 1999

Sandra Emma
(Print name of person mailing paper)

Sandra Emma
(Signature of person mailing paper)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/25/99 02/23/99 ANGELOPOULOS M Y0998-056

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT
P O BOX 218
YORKTOWN HEIGHTS NY 10598

NOT ASSIGNED

DATE MAILED: 03/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Due 5/15/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 890

☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.
Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
\$ _____ for _____ independent claims over 3.
\$ _____ for _____ dependent claims over 20.
\$ _____ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:
☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09259 04/26/1999 RTSEGYE 00000010 090468 130.00 CH 760.00 CH 01 FC:105 02 FC:101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: M. Angelopoulos et al.

Serial No. 09/256,034

Filed: February 23, 1999

Date: April 14, 1999

Group Art Unit:

Docket: YO998-056

Title: MULTILAYERED RESIST SYSTEMS USING TUNED POLYMER FILMS AS
UNDERLAYERS AND METHODS OF FABRICATION THEREOF

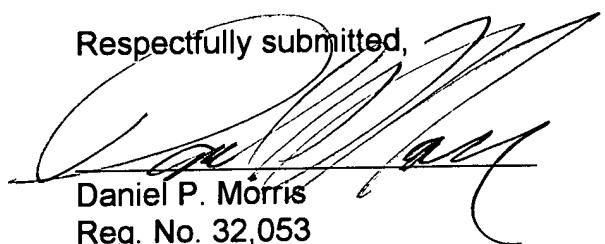
Commissioner of Patents and Trademarks
Washington, D.C. 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Application dated
March 15, 1999, this is authorization to charge the fee of \$ 890.00 for filing the
Declaration and Power of Attorney and the basic filing fee for the above-subject patent
application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,


Daniel P. Morris
Reg. No. 32,053
Tel. No. (914) 945-3217

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598